

Investment Funds Application

The disclosure documentation applicable to this transaction is: **0 2 1 0**

1 Adviser Details (For adviser use only)

You will require a Cofunds authorisation code before being able to transact business. If you do not include this we will not be able to process this application.

Cofunds Intermediary Authorisation Code **3 7 9 4 3** Intermediary Client/Deal Ref. **C.M.CWD0211** % Initial Commission waived **100%**

Please complete this Application Form using black ink and BLOCK CAPITALS and return to: Chartwell Direct, Kings Orchard, 1 Queen Street, Bristol BS2 0HQ

2 Personal/Company Details (Please complete this section in full)

Private 'Primary' Holder.

Existing Cofunds Client Reference

Did you receive advice from an adviser in relation to this investment?
If you do not answer this question we will assume you received advice.

Advised Not Advised

Mr/Mrs/Ms/Miss/Other

Surname

Full First Name(s)

Email Address

Phone Number

Male Female Date of Birth / /
 D D M M Y Y Y Y

National Insurance Number / / / /

Current Permanent Residential Address
(If registering in the name of a company, please provide the company address here)

Postcode

Time at this Address yrs mths

If at current address for less than 2 years, please supply previous address and time there

Postcode

Time at this Address yrs mths

If more than one previous address in the last 2 years, please provide full details including the time at each address on a separate sheet of paper and staple securely to this application form.

3 Designations (You can designate an account here using a maximum of 8 alpha/numeric characters)

If you wish to specify a unique designation for this account, please ensure that the designation reference does not make a meaningful word. Only the named applicants of this investment will be recognised as beneficial owners. If this section is not completed we will not designate this account. If you are funding this investment from a Cofunds Cash Account please ensure this designation is identical to that of the cash account.

4 Funding your investment

I will be funding my investment by (tick all that apply)

Cheque £ . Amount

Monthly Direct Debit (please ensure you complete the 'Investment by Direct Debit for Monthly Savers').

Cofunds Cash Account £ . Amount

5 Nominated Bank Account

Complete this section if you have not provided us with your nominated bank account details. If you are an existing customer, only complete this section if you would like to change your nominated bank account details. Any change to your nominated bank account will not be applied to your regular monthly investments.

You can only have one nominated bank account at any given time.

Name of Account Holder

Bank or Building Society Name and address

Postcode

Branch Sort Code - -

Bank/Building Society Account Number

Building Society Roll Number

6 Income

Complete this section if you have requested income units/shares ('INC'). The option you choose will be applied to all income units/shares on this application.

Note: If you are taking regular withdrawals from your cash account you may only select the 'Retain in the fund' option.

- Consolidated Monthly Income**
Income generated from your investment funds will be consolidated into your cash account and paid to your nominated bank account on a monthly basis.
- Retain in the fund**
Income generated from this investment will be retained in the fund.
- Cofunds Cash Account**
Income generated will be paid into your cash account to be held on platform for withdrawals or future investment.

If you do not tick one of these boxes we will select the 'Retain in the fund' option by default.

4 Investment Selection

I wish to invest in the portfolio or create my own fund as indicated below.

Existing Cofunds Client ref

		Lump Sum	Monthly amount	Commission WAIVE All (✓)
Either	High Income – Income units	£	£	✓
Or	Income & Growth – Income units	£	£	✓
Or	Growth – Accumulation units	£	£	✓
Or	Adventurous Growth – Accumulation units	£	£	✓

Or create your own portfolio

Fund Management Company	Fund Name	Type of Unit/Share (delete as appropriate)*	Lump Sum	Monthly amount per fund
		ACC/INC	£	£
		ACC/INC	£	£
		ACC/INC	£	£
Cash Reserve (if required)†			£	£
TOTAL INVESTMENT AMOUNT			£	£

† Monies may be held for short periods in the Cash Reserve. Such holdings are deemed 'destined for investment'.

*ACC/INC

If you do not specify ACC or INC in this column, and have not completed section 5, Cofunds will invest into accumulation units/shares where available.

Your cheque payment

Cheques must either be drawn on your own or your joint account. The cheque must be made payable to **Cofunds Limited**. For a Building Society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or bank's official stamp and signature. We do not accept payments from other third parties. We do not accept payment by any other method.

Your monthly savings

For monthly savings we will automatically collect on or just after the 25th day of each month. For applications received up until the last day in any month, the first direct debit collection will be made on or just after the 25th day of the following month.

8 Declaration and Authorisation

I confirm that:

I agree to be bound by:

- Terms and Conditions of the Cofunds Platform, including the Investment Funds Customer Agreement

My signed application form (provided that my application is accepted by Cofunds), together with the Terms and conditions, constitute my Agreement with Cofunds Limited.

I understand that instructions may be delayed or rejected if this application form is not complete in all respects.

You may undertake a search with a reference agency for the purposes of verifying my identity. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search.

I declare that the information contained in this application form is correct to the best of my knowledge and belief.

I am aged 18 or over.

Primary Holder Signature 	Date
Capacity (if applicable)	

Data Protection

Cofunds Limited will use your information for the administration and servicing of your investments and all other related activities. We may disclose your information to our agents and service providers for these purposes. We may also disclose your information to organisations for compliance with legal and regulatory requirements.

With the exception of the above provisions, we will not pass on your details to any other third party without your permission, but we will disclose information concerning your investment to your Nominated Adviser.

Cofunds may transfer your information to countries outside the EEA for the servicing of your investments. In such cases, contracts will be put in place to ensure that the service providers protect your information in accordance with the requirements of the Data Protection Act.

If you require a Fund prospectus, please contact your adviser or Fund Manager directly.

Investment by Direct Debit

Instruction to your Bank or Building Society to pay Direct Debits

Please fill in the whole form and send it to:

Cofunds Limited, PO Box 1103, Chelmsford CM99 2XY

Name and full postal address of your Bank or Building Society

To the Manager	Bank or Building Society
Address	
Postcode	

Instruction to your Bank or Building Society

Please pay Cofunds Limited Direct Debits from the account detailed on this instruction subject to the safeguards assured by The Direct Debit Guarantee. I understand that this instruction may remain with Cofunds Limited and, if so, will be passed on electronically to my Bank/Building Society. Banks and Building Societies may not accept Direct Debit instructions for some types of account.

Issued and approved by Cofunds Limited,
1st Floor, 1 Minster Court, Mincing Lane, London EC3R 7AA.
Registered in England and Wales No. 3965289.

Authorised and regulated by the Financial Services Authority (FSA) under FSA Registration No. 194734.

CO 289/CDW003 02/11



Name(s) of Account Holder(s)

Branch Sort Code

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Bank/Building Society Account Number

Reference Number (office use only)

Originator's Identification No. (office use only)

 6 0 0 2 6 7

Signature	Date
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